

PTO/SB/05 (03-01

Please type a plus sign (+) inside this box

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. CUP--8506

First Inventor RICHARD J. SPADY
A METHOD FOR PRESENTING

Title OPINIONS

Express Mail Label No. (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) (preferred arrangement set forth below) Computer Readable Form (CRF) - Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, iXXX paper or a computer program listing appendix Statements verifying identity of above copies Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement (when there is an assignee) - Abstract of the Disclosure 10. English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Information Disclosure 12. 5. Oath or Declaration [Total Pages Citations Statement (IDS)/PTO-1449 a. XXXX **Preliminary Amendment** Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) 13. 14XXX XReturn Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 €FR 1.76 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information. Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an cath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS or XXXX Correspondence address below Customer Number or Bar Code Label (Insert Cushmer No for Allecti ber onde lebel here) Name THOMAS W. SECREST 151 N. MARKET BLVD BOX 1303 P.O. Ad<u>dress</u> State Zip Code City Country Telephone B607480191 Fax 3607484165 UNITED STATES Registration No. (Attorney/Agent) 1 Name (Print/Type)

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (11-01) Approved for use through 10/31/2002. OMB 0651-0032

FFF TRANSMITTAL	Complete If Known
Under the Paperwork Reduction Act of 1995, no persons are required to	U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE respond to a collection of information unless it displays a valid OMB control number.

for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	3	70	•	0	0
------	---	----	---	---	---

Complete if Known						
Application Number						
Filing Date						
First Named Inventor	RICHARD J. SPADY					
Examiner Name						
Group Art Unit						
Attorney Docket No.	CUP8506					

Deposit Account:	METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)							
Deposit Account	XXX _{Sheck}	Credit card		3. A	DDIT	ION	AL FE	ES				
Poposit Account Number Deposit Number Deposit Account Number Deposit Number	Deposit	Account:	— Order —	Large Entity Small Entity								
Number Deposit Account Name Deposit Deposit Name Deposit Name Deposit Deposit Deposit Name Deposit Deposi	Deposit							Fee Description	Fee Paid			
127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130				1	- (*/	l	,	•				
The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments Charge fee(s) indicated below, except for the filling fee to the above/signtified deposal account. TEE CALCULATION 1. BASIC FILLING FEE Large Entity Small Entity Fee Code (s) 101 740 201 370 Utility filling fee 108 740 208 370 Reissue filling fee 114 160 214 80 Provisional filing fee 115 160 214 80 Provisional filing fee 116 Total Claims Independent Claims in excess of 20 103 18 203 9 Claims in excess of 20 106 84 209 42 "Reissue claims in excess of 3 104 280 204 140 Multiple dependent claims over original patent SUBTOTAL (2) (\$) ——— Total Claims Independent Claims in excess of 20 107 84 209 42 "Reissue claims in excess of 20 108 84 209 42 "Reissue claims in excess of 20 109 84 209 42 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in excess of 20 110 18 210 9 "Reissue claims in				l								
Charge fee(s) indicated below	Name			127	50	227	25					
Charge ray additional feels) during the pendency of this application 147 2,520 147 2,520 148 2,520 149 2,520 149 2,520 149 2,520 149 2,520 149 2,520 149 2,520 149 2,520 149 2,520 149 2,520 140 2,5				139	130	139	130	Non-English specification				
Charge fee(s) indicated below, except for the filing fee to the above/identified depost account.	· ·	٠,	,,,,,,, .	147	2,520	147	2,520					
Total Claims Nulliple Dependent Subtrotal (2) Subtrota				112	920*	112	920*	• • • • • • • • • • • • • • • • • • • •				
The component of the												
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code				113	1,840*	113	1,840*					
Large Entity Small Entity Fee	1. BASIC F			115	110	215	55	Extension for reply within first month				
Code (\$) Code (\$) Code (\$) Fee Paid	ì			116	400	216	200	Extension for reply within second month				
101 740 201 370 Utility filing fee 3.70 18 1,440 218 720 Extension for reply within fourth month 103 30 206 185 Design filing fee 108 740 208 370 Reissue filing fee 119 320 219 160 Notice of Appeal 120 320 220 160 Filing a binef in support of an appeal 121 280 221 140 Notice of Appeal 121 280 221 140 Notice of Appeal 122 320 220 160 Filing a binef in support of an appeal 121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 143 460 243 320 Design issue fee 144 620 244 310 Plant issue fee 144 620 244 310 Plant issue fee 145 180 Submission of Information Disclosure Stmt 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) 149 740 249 370 Request for continued Examination (RCE) 149 740 249 370 Request for continued Examination (RCE) 149 740 169 900 169 900 Request for expedited examination 169 84 209 42 ** Reissue claims in excess of 20 and over original patent 149 740 149 900 169 900 Request for expedited examination 149 900 169			Fee Description Fee Paid	117	920	217	460	Extension for reply within third month				
128 1,960 228 980 Extension for reply within fifth month 119 320 219 160 Notice of Appeal 120 320 220 160 Filing a brief in support of an appeal 120 320 220 160 Filing a brief in support of an appeal 120 320 220 160 Filing a brief in support of an appeal 120 320 220 160 Filing a brief in support of an appeal 120 320 221 140 Request for oral hearing 128 1,510 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 140 110 140			Litility filing foo	118	1,440	218	720	Extension for reply within fourth month				
108 740 208 370 Reissue filing fee 120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 128 1,510 138 1,510 1	106 330	206 165		128	1,960	228	980	Extension for reply within fifth month				
SUBTOTAL (1) (\$) 370 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Foe from below Fee Paid Total Claims Total Claims Independent Claims Multiple Dependent Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Foe Gode (\$) 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claims over original patent Subtotal (2) Subtotal (1) (\$) 370 121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,280 241 640 Petition to revive - unintentional Fee Fee for the form ressue) 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Processing fee under 37 CFR 1.17(q) 126 180 Submission of Information Disclosure Stmt 127 280 128 180 Submission after final rejection (37 CFR § 1.129(a)) 149 740 249 370 Filling a submission after final rejection (37 CFR § 1.129(b)) 149 740 249 370 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application 180 21 40 Request for cal hearing 1128 181,510 Petition to institute a public use proceeding 1128 181,510 Petition to institute a public use proceeding 1128 181,510 Petition to institute a public use proceeding 1128 181,510 Petition to institute a public use proceeding 1128 181,510 Petition to institute a public use proceeding 1128 181,510 Petition to revive - unavoidable 140 240 640 Petition to revive - unavoidable 140 Petition to revive - un	107 510	207 255	Plant filing fee	119	320	219	160	Notice of Appeal				
SUBTOTAL (1) (\$) 370 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Claims Fee from below Fee Paid Independent -3** =	108 740	208 370	Reissue filing fee	120	320	220	160	Filing a brief in support of an appeal				
SUBTOTAL (1) (\$) 370 140 110 240 55 Petition to revive - unavoidable 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Claims	114 160	214 80	Provisional filing fee	121	280	221	140	Request for oral hearing				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Claims	'	s	URTOTAL (1) (\$) -370	138	1,510	138	1,510	Petition to institute a public use proceeding				
Extra Claims	2 EVEDA C	10170		140	110	240	55	Petition to revive - unavoidable				
Total Claims Independent	Z. EXIRA (Fee from	į		241	640	Petition to revive - unintentional				
Independent								· ' ' '				
Multiple Dependent = 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Processing fee under 37 CFR 1.17(q) 126 180 Submission of Information Disclosure Stmt 127 138 18 203 9 Claims in excess of 20 128 4 202 42 Independent claims in excess of 3 129 140 Multiple dependent claim, if not paid 120 130 122 130 Petitions to the Commissioner 123 50 123 50 Processing fee under 37 CFR 1.17(q) 126 180 Submission of Information Disclosure Stmt 128 189 129 189 Submission after final rejection (37 CFR § 1.129(a)) 129 180 180 180 Submission after final rejection (37 CFR § 1.129(a)) 130 18 200 242 Independent claim, if not paid 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) 149 740 279 370 Request for Continued Examination (RCE) 159 900 169 900 Request for expedited examination of a design application 150 180 180 180 Submission of Information Disclosure Stmt 151 40 581 40 Recording each patent assignment per property (times number of properties) 150 180 180 180 Submission of Information Disclosure Stmt 150 180 180 180 Submission of Information Disclosure Stmt 150 180 180 180 180 Submission after final rejection (37 CFR § 1.129(a)) 160 180 180 180 180 180 180 180 180 180 18	Independent			ľ				· ·				
Large Entity Small Entity Fee Fee Code (\$) 123 50 123 50 Processing fee under 37 CFR 1.17(q) 126 180 Submission of Information Disclosure Stmt 127 581 40 581 40 Recording each patent assignment per property (times number of properties) 128 50 128 50 Processing fee under 37 CFR 1.17(q) 129 180 Submission of Information Disclosure Stmt 129 581 40 581 40 Recording each patent assignment per property (times number of properties) 129 581 40 581 40 Recording each patent assignment per property (times number of properties) 129 581 40 581 40 Recording each patent assignment per property (times number of properties) 129 581 40 581 40 Recording each patent assignment per property (times number of properties) 129 581 40 581 40 Recording each patent assignment per property (times number of properties) 129 581 40 581 40 Recording each patent assignment per property (times number of properties) 129 581 40 581 40 Recording each patent assignment per property (times number of properties) 120 581 40 581 40 Recording each patent assignment per property (times number of properties) 120 581 40 581 40 Recording each patent assignment per property (times number of properties) 120 581 40 581 40 Recording each patent assignment per property (times number of properties) 120 581 40 581 40 Recording each patent assignment per property (times number of properties) 120 581 40 581 40 Recording each patent assignment per property (times number of properties) 120 581 40 581 40 Recording each patent assignment per property (times number of properties) 121 581 40 581 40 Recording each patent assignment per property (times number of properties) 122 581 40 581 40 Recording each patent assignment per property (times number of properties) 120 581 40 581 40 Recording each patent assignment per property (times number of properties) 122 581 40 581 40 Recording each patent assignment per property (times number of property (times	i e	***************************************										
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Code (\$) Code (\$) Code (\$) Code Co	manpio Depoi	dont						_				
Fee Fee Code (\$) 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claims over original patent 109 84 209 42 **Reissue independent claims over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent SUBTOTAL (2) 126 180 Submission of Information Disclosure Stmt 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) 179 740 279 370 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application 180 Submission of Information Disclosure Stmt 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(b)) 149 740 279 370 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application	Large Entity	Small Entity						` ' '				
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 **Reissue independent claims over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 **Reissue claims in excess of 20 and over original patent 100 18 210 9 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Fee Fee	Fee Fee	Fee Description					Submission of Information Disclosure Stmt				
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims over original patent 110 18 210 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) Other fee (specify)			Claims in excess of 20	581	40	581	40					
109 84 209 42 ** Reissue independent claims over original patent 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) 110 18 210 9 ** Reissue claims in excess of 20 and over original patent 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) 179 740 279 370 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify)			Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection				
109 84 209 42 Reissue independent claims over original patent solution over original patent solution of a design application o				140	740	249	370	· ' ' '				
and over original patent 169 900 169 900 Request for expedited examination of a design application Other fee (specify)	109 84	209 42		140	170	240	370	examined (37 CFR § 1.129(b))				
SUBTOTAL (2) (\$) Other fee (specify)	110 18	210 9		179	740	279	370	Request for Continued Examination (RCE)				
SUBTOTAL (2) (\$) · · · · · Other fee (specify)			and over original patent	169	900	169	900					
(4)		SUB.	TOTAL (2) (\$)	•								
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$\\$)	**or number	previously paid	. if greater: For Reissues, see above	*Red	uced by	Basi	c Filina	Fee Paid SUBTOTAL (3) (\$)	\neg			

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	THOMAS W. SECREST	Registration No. (Attorney/Agent)	16.997	Telephone	3607480491			
Signature	T Secret	FAX 3	507484165	Date	02/12/02			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

20231

9264

CHEHALIS WA 98532

P 0 BOX 1303



ASSISTANT COMMISSIONER FOR PATENTS 20231 WASHINGTON DC

MR THOMAS W SECREST

PTO/SB/97 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on TUESDAY 2002 FEBRUARY 12

Date

Signature

T. W. SECREST

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

A PATENT APPLICATION ENTITLED "A METHOD FOR PRESENTING OPINIONS AND MEASURING "SOCIAL (INTANGIBLE) ASSETS" WAS MAILED FROM CHEHALIS, WASHINGTON ON TUESDAY 2002 FEBRUARY 12 ADDRESSED TO THE UNITED STATES PATENT OFFICE. THE INVENTOR IS RICHARD J. SPADY.